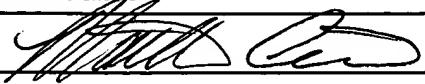


**CERTIFICATE OF MAILING**

I hereby certify that the correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231.

Typed or Printed Name	Martha Cisneros		
Signature		Date	August 8, 2000

<b>REQUEST FOR CORRECTED FILING RECEIPT</b>		Attorney Docket	GUID-006CONS
Address to: Assistant Commissioner for Patents Washington, D.C. 20231		First Named Inventor	Federico J. Benetti et al.
		Application Number	09/480,826
		Filing Date	January 10, 2000
		Group Art Unit	3731
		Examiner Name	Unassigned
		Title	Access Platform For Internal Mammary Dissection

Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) The mailing address reads "22249, LYON & LYON LLP, Suite 4700, 633 West Fifth Street, Los Angeles, CA 900712066", but should read --BOZICEVIC, FIELD & FRANCIS, LLP, 200 Middlefield Road, Suite 200, Menlo Park, California, 94025--
- (2) The Attorney Docket No. reads "249/076", but should read --GUID-006CONS--
- (3) The Applicant(s) name reads "Brent Regan, Davis, CA", but should read --Brent Regan, Coeur d'Alene, Idaho--

At least one of the above corrections is due to applicant's error and the fee therefor, under 37 C.F.R. 1.19(h), of \$25.00 is paid as follows:

       Enclosed is a check.  
X Charge Account No. 50-0815.

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TC 3700 MAIL ROOM

Please return the enclosed postcard stamped with the Patent and Trademark Office date stamp to evidence receipt of this request.

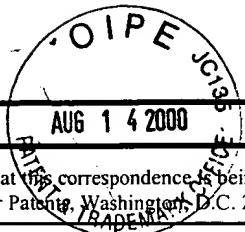
Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: August 8, 2000

By:   
Alan W. Cannon  
Registration No. 34,977

BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield, Suite 200  
Menlo Park, California 94025  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231

F:\DOCUMENT\GUID\006CONS\Request for Corrected Filing Receipt.wpd



Receipt  
FILE COPY

AUG 14 2000

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

Typed or Printed Name **Martha Cisneros**

Signature

Date **August 8, 2000**

**TRANSMITTAL FORM**

*(to be used for all correspondence after initial filing)*

Attorney Docket	GUID-006CONS5
First Named Inventor	Charles S. Taylor
Application Number	09/480,826
Filing Date	January 10, 2000
Group Art Unit	3731
Examiner Name	Unassigned
Title	Access Platform for Internal...

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Issue Fee Transmittal <i>(with copy of PTOL-85B)</i>	<input type="checkbox"/> Request for Refund
<input type="checkbox"/> Fee Attached		<input type="checkbox"/> After Allowance
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Communication to Group
<input type="checkbox"/> After Final		<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Licensing-related Papers	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition Routing Slip and Accompanying Petition	<input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Information Disclosure Statement, 1449 and references	<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Revocation	<input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Statement Under 37 C.F.R. 3.73(b)	<u>Request for Corrected Filing Receipt</u>
<input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53	<input type="checkbox"/> Change of Correspondence Address	<u>Return Postcard</u>
	<input type="checkbox"/> Terminal Disclaimer	
	<input type="checkbox"/> Small Entity Statement	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Individual Name	Alan W. Cannon	Registration No.	34977
Firm Name	BOZICEVIC, FIELD & FRANCIS LLP		SEP 14 2000
Signature			RECEIVED
Date	August 8, 2000		MAIL ROOM

# FEE TRANSMITTAL

Note: Effective October 1, 1998  
Patent fees are subject to annual revision.

AUG 14 2000

PAENT & TRADEMARK OFFICE  
U.S. DEPARTMENT OF COMMERCE  
REGISTRATION AND EXAMINATION OF TRADEMARKS  
SEARCHES, EXAMINATIONS, AND REGISTRATION OF TRADEMARKS

Attorney Docket Number	GUID-006CONS
First Named Inventor	Charles S. Taylor, et al.
Application Number	09/480,826
Filing Date	January 10, 2000
Group Art Unit	3731
Examiner Name	Unassigned

## METHOD OF PAYMENT

A CHECK IS ENCLOSED

The Commissioner is hereby authorized to charge fees required under 37 C.F.R. 1.16 and 1.17 and credit any overpayments to:  
Deposit Account No. 50-0815.

Deposit Account Name: Bozicevic, Field & Francis LLP

## FEE CALCULATION

### 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
101	690	201	345	Utility filing fee	\$
102	310	206	155	Design filing fee	
104	480	207	240	Plant filing fee	
109	690	208	345	Reissue filing fee	
110	150	214	75	Provisional filing fee	
Subtotal (1)					\$

### 2. CLAIMS

No. of claims as filed or after amendment	Most claims previously paid	Extra claims	Fee from below	Fee Due
Total claims	19	20	x	\$
Ind. claims	6	3	x	\$
Multiple Dependent claims			x	=

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of and over original patent
Subtotal (2) \$				

### 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Fee Due	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Due
105	130	205	65	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	147	2,520	147	2,520	Filing a request for reexamination	
115	110	215	55	116	380	216	190	Ext. for reply within second month	
117	870	217	435	118	1,360	218	680	Ext. for reply within fourth month	
128	1,850	228	925	119	300	219	150	Notice of Appeal	
120	300	220	150	121	260	221	130	Request for oral hearing	
140	110	240	55	141	1,210	241	605	Petition to reissue - unintentional	
142	1,210	242	605	122	130	122	130	Petitions to the Commissioner	
123	50	123	50	126	240	126	240	Submission of IDS	
146	690	246	345	149	690	249	345	For each additional invention to be examined (37 C.F.R. 1.129(b))	
112	920*	112	920*	113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
143	430	243	215	144	580	244	290	Plant issue fee	
581	40	581	40	Other fee (specify) <i>Corrected Filing Receipt</i>				25.	

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$25.00

TOTAL AMOUNT ENCLOSED (\$ 25.00)

SUBMITTED BY				Complete (if applicable)
Typed or Printed Name	Alan W. Cannon, BOZICEVIC, FIELD & FRANCIS LLP			Reg. Number 34,977
Signature	<i>AWC</i>	Date 8/8/00		Deposit Account 50-0815

## FILING RECEIPT



\*OC00000005185815\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND  
COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE RECD	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/480,826	01/10/2000	3731	1054	249/076	62	19	6

22249  
LYON & LYON LLP  
SUITE 4700  
633 WEST FIFTH STREET  
LOS ANGELES, CA 90071-2066

BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025

Date Mailed: 06/19/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

- ✓ Charles S. Taylor, San Francisco, CA ;
- ✓ William N. Aldrich, Redwood City, CA ;
- ✓ Federico J. Benetti M.D., Santa Fe, ARGENTINA;
- ✓ Richard S. Ginn, San Jose, CA ;
- ✓ Dwight P. Morejohn, Davis, CA ;
- ✓ Brent Regan, Davis, CA ; → Brent Regan, Coeur d'Alene, Idaho;
- ✓ Eugene E. Reis, San Jose, CA ;
- ✓ Ivan Sepetka, Los Altos, CA ;
- ✓ William F. Witt, Palo Alto, CA ;

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 09/385,812 08/30/1999  
WHICH IS A CON OF 08/903,516 07/30/1997 PAT 5,944,736  
WHICH IS A CON OF 08/787,748 01/27/1997 ABN  
WHICH IS A CIP OF 08/619,903 03/20/1996 PAT 5,976,171  
WHICH IS A CIP OF 08/604,161 02/20/1996 PAT 5,730,757

## Foreign Applications

If Required, Foreign Filing License Granted 03/08/2000

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JUN 23 2000  
U.S. PROSECUTION

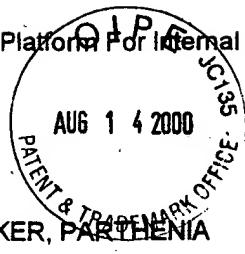
PL 1/20  
DOCKETED

Title

Access Platform For Internal Mammary Dissection

Preliminary Class

606



Data entry by : WALKER, PARTHENIA

Team : OIPE

Date: 06/19/2000

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

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Assistant Commissioner for Patents  
Office of Initial Patent Examination  
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Washington, DC 20231


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 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

SERIAL NUMBER 09/480,826	FILING DATE 01/10/2000 RULE	CLASS 606	GROUP ART UNIT 3731	ATTORNEY DOCKET NO. GUID-006CONS5
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**APPLICANTS**

Charles S. Taylor, San Francisco, CA ;  
 William N. Aldrich, Redwood City, CA ;  
 Federico J. Benetti M.D., Santa Fe, ARGENTINA;  
 Richard S. Ginn, San Jose, CA ;  
 Dwight P. Morejohn, Davis, CA ;  
 Brent Regan, Coeur d'Alene, ID ;  
 Eugene E. Reis, San Jose, CA ;  
 Ivan Sepetka, Los Altos, CA ;  
 William F. Witt, Palo Alto, CA ;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CON OF 09/385,812 08/30/1999  
 WHICH IS A CON OF 08/903,516 07/30/1997 PAT 5,944,736  
 WHICH IS A CON OF 08/787,748 01/27/1997 ABN  
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 WHICH IS A CIP OF 08/604,161 02/20/1996 PAT 5,730,757

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE  
GRANTED \*\* 03/08/2000**

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY CA	SHEETS DRAWING 62	TOTAL CLAIMS 19	INDEPENDENT CLAIMS 6
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

**ADDRESS**

BOZICEVIC FIELD & FRANCIS LLP  
 200 Middlefield Suite 200  
 Menlo Park ,CA 94025

**TITLE**

Access platform for internal mammary dissection

FILING FEE RECEIVED 1054	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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